

**FILE COPY**

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09987917	11/16/01	DERRICO et al.	9512-002-27

2184

Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDINICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412

Date Mailed: 12/18/01

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT****Publication and General Rules Issues**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- ☐ The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☐ Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- ☒ An amendment or Application Data Sheet is needed to make this change.
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. See MPEP 605.02.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet.

☐ Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).

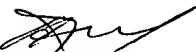
☐ The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt.

☐ The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213.

☐ There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.

☐ Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.

☐ The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".

  
\_\_\_\_\_  
Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202



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*Receipt*

DOCKET NO.: 9512-002-27

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RECEIVED  
DEC 26 2001  
Technology Center 2100

Re: Serial No.: 09/987,917  
Applicant(s): JOEL BRIAN DERRICO, ET AL.  
Filing Date: NOVEMBER 16, 2001  
For: CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER  
Group Art Unit: 2184  
Examiner: UNASSIGNED

SIR:


Attached hereto for filing are the following papers:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT  
OFFICIAL FILING RECEIPT (COPY)

Our check in the amount of \$ -0- is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

  
\_\_\_\_\_  
Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Kenneth K. D. Vu  
Registration No.: 46,323

DOCKET NO. 9512-002-27



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: JOEL BRIAN DERRICO, ET AL. ART UNIT: 2184

SERIAL NO.: 09/987,917

EXAMINER: UNASSIGNED

FILING DATE: NOVEMBER 16, 2001

FOR: CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: Application Division  
Customer Corrections

SIR:

RECEIVED  
DEC 26 2001  
Technology Center 2100

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. The following correction(s) should be made to the Official Filing Receipt:

**The title is incorrect and should read:**

CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER

Thank you.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/987,917	11/16/2001	2184	625	9512-002-27	5	39	5

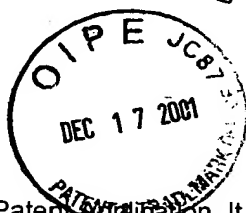
CONFIRMATION NO. 7900

FILING RECEIPT-23



\*OC000000007138008\*

Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDINICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412



Date Mailed: 11/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Joel Brian Derrico, Atlanta, GA;  
Paul Jonathan Freet, Duluth, GA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/248,834 11/16/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 11/29/2001

Projected Publication Date: 05/16/2002

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\*****Title**

Cluster computer network appliance

**Preliminary Class**

714

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DEC 26 2001  
Technology Center 2100

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

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